

#4



PATENT
ATTORNEY DOCKET NO.: 056291-5057

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION of:

STOCKER et al.

Appln. No.: 09/963,686

Filed: September 27, 2001

FOR: HETEROCYCLIC DERIVATIVES WHICH
INHIBIT FACTOR Xa

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)
)
) Group Art Unit: Unassigned
)
) Examiner: Unassigned
)
)

RECEIVED

MAR 21 2003

Commissioner of Patents
Washington, D.C. 20231

Date: March 19, 2003

OFFICE OF PETITIONS

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS

1. This replies to the Notice to File Missing Parts of Nonprovisional Application mailed October 15, 2001.

A copy of the Notice To File Missing Parts Of Nonprovisional Application is enclosed.

2. Declaration Or Oath

- ☒ No declaration or oath was filed. Enclosed is a copy of the original Combined Declaration and Power of Attorney.
- ☐ The specification attached to the declaration is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.
- ☐ The declaration or oath which was filed was determined to be defective. A new original Combined Declaration and Power of Attorney is attached.

3. English Translation of Non-English Language Papers

- ☐ Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO.

Also enclosed is the Verification of Translation Document.

4. Small Entity Status

- ☐ Small entity status under 37 C.F.R. § 1.27 is asserted for the present application.

5. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136(a) apply.

- ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. § 1.17(a), for the total number of months checked below:

<u>Total months requested</u>	<u>Fee for extension</u>	<u>[fee for Small Entity]</u>
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 410.00	\$205.00
<input type="checkbox"/> three months	\$ 930.00	\$465.00
<input type="checkbox"/> four months	\$1,450.00	\$725.00
<input type="checkbox"/> five months	\$1,970.00	\$985.00

Extension of time fee due with this request: \$

If an additional extension of time is required, please consider this a Petition therefor.

- ☒ Applicant believes that no extension of time is required. However, in the event applicant has inadvertently overlooked the need for a petition and fee for extension of time, applicant hereby petitions for such an extension and requests that any fee therefor be charged to our Deposit Account No. 50-0310.

6. Assignment

- ☐ An assignment of the invention to _____ and a PTO Form-1595, Recordation Form Cover Sheet, are enclosed.

7. Fee Calculation

					Fee Code
Basic Fee				\$750.00	1001/2001
	Number Filed	Number Extra	at a Rate of (Lg./Sm.Entity)		
Total Claims	16 - 20 =	0	\$18/\$9 each=	\$0	1202/2202
Independent Claims	2 - 3 =	0	\$84/\$42 each=	\$0	1201/2201
Multiple dependent claim(s), if any			\$280/\$140	\$280.00	1203/2203
SUB-TOTAL =				\$1,030.00	
Late Filing Surcharge Fee (37 C.F.R. § 1.16(e))			\$130/\$65	\$130.00	1051/2051
Extension Fee (37 C.F.R. § 1.17(a)(1)-(5))		\$110/\$55 (1 Month)		\$	1251/2251
		\$410/\$205 (2 Months)			1252/2252
		\$930/\$465 (3 Months)			1253/2253
		\$1450/\$725 (4 Months)			1254/2254
		\$1970/\$985 (5 Months)			1255/2255
SUB-TOTAL =				\$1,160.00	
TOTAL FILING FEE =				\$1,160.00	

8. Fee Payment

- ☒ The Commissioner is hereby authorized to charge \$1,160.00 to Deposit Account 50-0310 for for Application Filing Fee (\$750.00), Additional Claims Fee (\$280.00), and Late Filing Surcharge (\$130.00).
- ☒ **Except** for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

9. Additional papers enclosed.

☐

Respectfully Submitted,
Morgan Lewis & Bockius LLP

Date: March 19, 2003

Morgan Lewis & Bockius LLP
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Washington, D.C. 20004

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DJB:mk

By: 

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#4



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/963,686	09/27/2001	Andrew Stocker	P 274402 Z70199/UST/A

CONFIRMATION NO. 9682

FORMALITIES LETTER



OC00000006902675

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MAR 21 2003

OFFICE OF PETITIONS

Date Mailed: 10/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- o Total additional claim fee(s) for this application is \$270.
 □ \$270 for multiple dependent claim surcharge.
- o The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 1110.**

03/21/2003 AHONDAF1 00000110 500310 09963686

01 FC:1001	750.00 CH
02 FC:1051	130.00 CH
03 FC:1203	280.00 CH

A copy of this notice **MUST** be returned with the reply.

RECEIVED

PILLSBURY WINTHROP LLP/DC

OCT 17 2001

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

CL# 9901 MT# 274402
ATTY(S) DJB
DUE: 12-15-01
DKT BY (1) JAW (2) JEH